COMMISSIONERS

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# PUBLIC SERVICE COMMISSION

December 17, 2024

#### NOTICE OF SB 1 SUPPLIER LICENSING EXPIRATIONS AND RENEWAL APPLICATIONS, AND LICENSE APPLICATION FORMS FOR ENERGY VENDORS AND ENERGY SALESPERSONS

#### To: All Maryland Regulated Gas and Electric Utilities and Retail Gas and Electric Suppliers

Senate Bill 1 ("SB 1"), enacted after the 2024 Session of the General Assembly and effective July 1, 2024, includes new and amended licensing requirements for electricity and gas salespersons and suppliers, to be implemented and enforced by the Maryland Public Service Commission. Specifically, Section 4 of SB 1 requires the following:

- (1) The licenses of electricity suppliers and gas suppliers that are licensed by the Public Service Commission as of July 1, 2024, shall expire on a staggered basis as determined by the Commission, such that equal numbers of licenses shall expire throughout each of the following three years but not later than June 30, 2027;
- (2) The licenses of energy salespersons who are licensed by the Commission on or before June 30, 2027, shall expire on a staggered basis as determined by the Commission, such that equal numbers of licenses shall expire each year; and
- (3) All new and renewed licenses for electricity suppliers, gas suppliers, and energy salespersons shall be for a term not exceeding 3 years.

This Notice finalizes the Commission's decisions regarding staggered supplier license expirations and renewals, as well as the terms and expiration of the new salesperson and vendor licenses. In addition, the Commission also approves the supplier renewal form, as well as the vendor and salesperson license applications recommended by Staff, with certain revisions.<sup>1</sup>

November 8, 2024, the Commission's Technical Staff ("Staff") presented to the Commission a draft renewal application for energy suppliers, a draft initial licensing application for energy vendors and energy salespersons, to begin July 1, 2025, the proposed dates for the expiration of the first one-third of the group of expiring supplier licenses,<sup>2</sup> and other related filing deadlines. Staff requested that the draft applications be approved and posted on the Commission's website.

Additionally, Staff recommended that one-third of existing supplier licenses shall expire incrementally between February 2025 and June 2025 and be required to be renewed for a three-year term by June 30, 2025, using the expiration dates proposed by Staff in Attachment A. Staff further recommended that the Commission direct the suppliers to file for their license renewal by December 15, 2024 and pay a \$1,000 license renewal fee. Staff advised that the Commission should state in its Order that any supplier listed in Attachment A that has not filed for renewal by December 1, 2024 should receive a warning from the Commission that its license status will be revoked pending a hearing, where the supplier must appear in person and be afforded an opportunity to request reconsideration of the automatic revocation.

Staff also recommended that any supplier that the Commission has not approved for renewal by the supplier's license expiration date should receive notice of an in-person license revocation hearing. Staff proposed that this process be repeated annually for the remaining suppliers.

<sup>&</sup>lt;sup>1</sup> See Attachment B.

<sup>&</sup>lt;sup>2</sup> See Attachment A.

The Commission approves Staff's proposed staggered license expiration dates for the first one-third of existing electric and gas supplier licenses as listed in Attachment A. The deadline for applying for a three-year license renewal shall be January 15, 2025, with Commission warnings and revocation hearing notices going out on January 3, 2025 to suppliers who have not yet filed renewal applications. Additionally, a designated representative of a licensee shall complete a Commission approved training and educational program prior to the expiration of the license.

Staff shall provide a proposed expiration schedule and renewal filing deadlines for all licenses expiring after June 2025. For 2026 and thereafter, the renewal application deadline for expiring supplier licenses shall be January 15.

Staff proposed three application forms for Commission approval: (1) the supplier license renewal application, (2) the energy vendor application, and (3) the energy salesperson application. The Commission approves the energy vendor and energy salesperson applications as proposed and approves the supplier license renewal application with revisions. The application forms are included as Attachment B and shall be posted on the Commission website with the ability to be filed online.

By Direction of the Commission,

/s/ Andrew S. Johnston

Andrew S. Johnston Executive Secretary

# Attachment A

License No.	Supplier Name	License Expiration Date
IR-1726	Acclaim Energy, Ltd.	February 28, 2025
IR-1728	Acclaim Energy, Ltd.	February 28, 2025
IR-2812	Achieve Energy Solutions LLC d/b/a EnergyPricing.com	February 28, 2025
IR-3762	Advantage Energy Partners LLC	February 28, 2025
IR-2016	Affinity Energy Management, LLC	February 28, 2025
IR-229	Allegheny Energy Supply	February 28, 2025
IR-4193	Alpha Gas & Electric LLC	February 28, 2025
IR-4290	Alpha Gas & Electric LLC	February 28, 2025
IR-1993	Ambit Northeast, LLC	February 28, 2025
IR-1992	Ambit Northeast, LLC	February 28, 2025
IR-2174	America Approved Commercial, LLC	February 28, 2025
IR-604	American PowerNet Management, L.P.	February 28, 2025
IR-267	AOBA Alliance, Inc.	February 28, 2025
IR-375	AOBA Alliance, Inc.	February 28, 2025
IR-2745	Apex Petroleum Corporation	February 28, 2025
IR-3650	Applied Energy Partners LLC	February 28, 2025
IR-3651	Applied Energy Partners LLC	February 28, 2025
IR-3649	ARA Consulting Group LLC d/b/a Commercial Power	February 28, 2025
IR-3624	Aspirity Energy LLC	February 28, 2025
IR-3605	Atlantic Energy MD LLC	February 28, 2025
IR-1743	Avalon Energy Services, LLC	February 28, 2025
IR-3672	AvidXchange Inc	February 28, 2025

IR-3670	AvidXchange Inc	February 28, 2025
IR-3184	Avion Energy Group LLC	February 28, 2025
IR-3185	Avion Energy Group LLC	February 28, 2025
IR-3748	Benchmark Energy LLC	February 28, 2025
IR-3747	Benchmark Energy LLC	February 28, 2025
IR-3164	Better Cost Energy LLC	February 28, 2025
IR-1847	BidURenergy, Inc.	February 28, 2025
IR-1846	BidURenergy, Inc.	February 28, 2025
IR-2847	Bith Solar I LLC	February 28, 2025
IR-265	Bollinger Energy Corporation	February 28, 2025
IR-322	Bollinger Energy Corporation	February 28, 2025
IR-4240	Bradley R Lewis d/b/a CreativEnergy Options LLC	February 28, 2025
IR-4144	Brookfield Renewable Energy Marketing US LLC	February 28, 2025
IR-3199	Capital Energy Inc	February 28, 2025
IR-4497	Cheap Energy Now LLC f/k/a Saveenergy Today LLC	February 28, 2025
IR-2876	Choose Energy Inc.	February 28, 2025
IR-2843	CleanChoice Energy Inc	February 28, 2025
IR-2789	Clear Energy Solution	February 28, 2025
IR-3072	ClearlyEnergy Inc	February 28, 2025
IR-3070	ClearlyEnergy Inc	February 28, 2025
IR-2009	Clearview Electric, Inc. d/b/a Clearview Energy	March 31, 2025
IR-1900	Coastal Energy Company, LLC	March 31, 2025
IR-606	Colonial Energy, Inc.	March 31, 2025

IR-2062	Commercial and Industrial Energy Solutions, LLC	March 31, 2025
IR-4200	Community Purchasing Alliance Cooperative	March 31, 2025
IR-3772	Conservice LLC	March 31, 2025
IR-311	Constellation Home Products & Services LLC d/b/a Constellation Home	March 31, 2025
IR-655	Constellation NewEnergy-Gas Division, LLC	March 31, 2025
IR-575	CQI Associates, LLC	March 31, 2025
IR-1753	CQI Associates, LLC	March 31, 2025
IR-674	Cypress Natural Gas, L.L.C.	March 31, 2025
IR-645	Delta Energy, LLC	March 31, 2025
IR-2697	Direct Energy Business LLC d/b/a NRG Business	March 31, 2025
IR-2986	Diversegy LLC	March 31, 2025
IR-3095	Diversegy LLC	March 31, 2025
IR-2011	Downing Place, LLC	March 31, 2025
IR-1798	Early Bird Power	March 31, 2025
IR-342	Eastern Shore of Maryland Educational Consortium Energy Trust dba ESMEC Energy Trust	March 31, 2025
IR-2019	EDF Trading North America, LLC	March 31, 2025
IR-1939	Edison Energy LLC f/k/a Eneractive Solutions, LLC	March 31, 2025
IR-1950	Eisenbach Consulting, LLC	March 31, 2025
IR-1951	Eisenbach Consulting, LLC	March 31, 2025
IR-2839	Eligo Energy MD LLC	March 31, 2025
IR-4137	Eligo Energy MD LLC	March 31, 2025
IR-3714	Elite Energy Group, Inc.	March 31, 2025

IR-3715	Elite Energy Group, Inc.	March 31, 2025
IR-3115	EMEX, LLC, Gas License	March 31, 2025
IR-2065	EMEX, LLC, Electric License	March 31, 2025
IR-3156	Emissions Consult LLC	March 31, 2025
IR-3157	Emissions Consult LLC	March 31, 2025
IR-2783	Enel X North America Inc f/k/a EnerNOC Inc	March 31, 2025
IR-3188	Energy Auction Exchange LLC	March 31, 2025
IR-2826	Energy Choice Inc	March 31, 2025
IR-2022	Energy Edge Consulting, LLC	March 31, 2025
IR-225	Energy Harbor LLC	March 31, 2025
IR-1805	Energy Plus Holdings, LLC	March 31, 2025
IR-1791	Energy Professionals, LLC	March 31, 2025
IR-3607	Energy Spectrum, Inc.	March 31, 2025
IR-605	ENGIE Resources Inc. f/k/a ENGIE Resources Inc f/k/a Suez Energy Resources NA Inc	March 31, 2025
IR-3616	Everyday Energy LLC	March 31, 2025
IR-3618	Everyday Energy LLC	March 31, 2025
IR-334	Gateway Energy Services Corporation	March 31, 2025
IR-340	Gateway Energy Services Corporation	March 31, 2025
IR-3172	Global Energy LLC	March 31, 2025
IR-3195	Global Energy LLC	April 30, 2025
IR-3717	Gold Star Energy LLC	April 30, 2025
IR-3718	Gold Star Energy LLC	April 30, 2025
IR-1592	Good Energy, LP	April 30, 2025

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IR-3752	Green Mountain Energy Company	April 30, 2025
IR-1835	Green Power Management Solutions, LLC	April 30, 2025
IR-1834	Green Power Management Solutions, LLC	April 30, 2025
IR-2794	Greenlight Energy Inc.	April 30, 2025
IR-3109	Greenlight Energy Inc.	April 30, 2025
IR-2494	Groundswell, Inc.	April 30, 2025
IR-2482	HealthTrust Purchasing Group LP	April 30, 2025
IR-2483	HealthTrust Purchasing Group LP	April 30, 2025
IR-219	Hess Corporation	April 30, 2025
IR-323	Hess Corporation	April 30, 2025
IR-403	Houston Energy Services Company, L.L.C.	April 30, 2025
IR-1114	Hudson Energy Services, LLC	April 30, 2025
IR-1120	Hudson Energy Services, LLC	April 30, 2025
IR-1745	IDT Energy, Inc.	April 30, 2025
IR-1747	IDT Energy, Inc.	April 30, 2025
IR-3215	Innov8energy, Benefit LLC	April 30, 2025
IR-3214	Innov8energy, Benefit LLC	April 30, 2025
IR-1985	Integrity Energy, LTD	April 30, 2025
IR-1836	Interstate Gas Supply, Inc. d/b/a IGS Energy	April 30, 2025
IR-2026	Invado International, LLC	April 30, 2025
IR-2025	Invado International, LLC	April 30, 2025
IR-2865	J. Andrew Associates, Inc. d/b/a Seven-Utility Management Consultants, LLC	April 30, 2025
IR-4304	JMI Consultants LLC	April 30, 2025

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IR-639	Just Energy Solutions Inc f/k/a Commerce Energy, Inc.	April 30, 2025
IR-1442	Kinect Energy Inc f/k/a On-Demand Energy LP	April 30, 2025
IR-2752	Kleantricity Inc	April 30, 2025
IR-3132	L5E LLC T/A 5 Digital Energy	April 30, 2025
IR-607	Liberty Power Corp, LLC	April 30, 2025
IR-3162	Live Energy Inc	April 30, 2025
IR-4169	LouElla Enterprises LLC d/b/a EnerChange Power & Gas (EP&G)	April 30, 2025
IR-3692	LPC Energy Services LLC	April 30, 2025
IR-2835	LVI Power LLC	April 30, 2025
IR-1683	Mablock Consulting d/b/a The Lock Group	April 30, 2025
IR-1749	Major Energy Services, LLC	April 30, 2025
IR-614	Market Direct LLC d/b/a mdenergy	April 30, 2025
IR-2825	Maryland Energy Advisors, LLC	April 30, 2025
IR-1954	Maryland Energy Advisors, LLC	April 30, 2025
IR-234	Mid-Atlantic Aggregation Group Independent Consortium, L.L.C. d/b/a MAAGIC	April 30, 2025
IR-1000	MRDB Holdings, LP d/b/a LPB Energy Consulting	April 30, 2025
IR-930	MRDB Holdings, LP d/b/a LPB Energy Consulting	April 30, 2025
IR-3675	MSDS Consultant Services LLC	April 30, 2025
IR-1857	Nania Energy, Inc.	May 31, 2025
IR-3700	Nania Energy, Inc.	May 31, 2025
IR-3601	National Gas & Electric, LLC	May 31, 2025
IR-3600	National Gas & Electric, LLC	May 31, 2025

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IR-4180	New Wave Energy LLC	May 31, 2025
IR-4179	New Wave Energy LLC	May 31, 2025
IR-3693	NextEra Energy Services Maryland LLC	May 31, 2025
IR-966	NextEra Energy Services, LLC	May 31, 2025
IR-2470	Nordic Energy Services, LLC	May 31, 2025
IR-1983	North American Power and Gas LLC	May 31, 2025
IR-338	NOVEC Energy Solutions, Inc.	May 31, 2025
IR-3123	NRG Business Marketing fka Direct Energy Business Marketing LLC	May 31, 2025
IR-3108	NRG Business Marketing fka Direct Energy Business Marketing LLC	May 31, 2025
IR-2038	NRGing, LLC d/b/a NetGain Energy Advisors	May 31, 2025
IR-2037	NRGing, LLC d/b/a NetGain Energy Advisors	May 31, 2025
IR-1981	Open Market Energy, LLC	May 31, 2025
IR-2013	Open Market Energy, LLC	May 31, 2025
IR-1803	Palmco Energy MD LLC d/b/a Indra Energy	May 31, 2025
IR-1804	Palmco Power MD LLC d/b/a Indra Energy	May 31, 2025
IR-3629	Papillon Productions LLC d/b/a Electricity Club	May 31, 2025
IR-4300	Park Power LLC	May 31, 2025
IR-3057	Patriot Energy Group LLC	May 31, 2025
IR-4147	Peoples Power Choice Inc	May 31, 2025
IR-222	Pepco Energy Services, Inc.	May 31, 2025
IR-316	Pepco Energy Services, Inc. also d.b.a. Conectiv Energy Services	May 31, 2025
IR-2795	Pinnacle Energy Services, LLC, Gas License	May 31, 2025

IR-2793	Pinnacle Energy Services, LLC, Electric License	May 31, 2025
IR-2852	Power Target LLC	May 31, 2025
IR-3128	Progressive Energy Consultants LLC	May 31, 2025
IR-3193	Progressive Energy Consultants LLC	May 31, 2025
IR-2042	Prospect Resources, Inc.	May 31, 2025
IR-2041	Prospect Resources, Inc.	May 31, 2025
IR-1781	Public Power & Utility of Maryland, LLC	May 31, 2025
IR-4333	Recurrent Innovative Solutions LLC	May 31, 2025
IR-2058	Reliant Energy Northeast LLC d/b/a NRG Home	May 31, 2025
IR-1438	Renodis ER LLC f/k/a The Eric Ryan Corporation	May 31, 2025
IR-1437	Renodis ER LLC f/k/a The Eric Ryan Corporation	May 31, 2025
IR-4155	Resource Energy Solutions LLC	May 31, 2025
IR-1685	RMI Consulting, Inc.	May 31, 2025
IR-3695	RPA Energy, Inc. d/b/a Green Choice Energy	May 31, 2025
IR-3696	RPA Energy, Inc. d/b/a Green Choice Energy	May 31, 2025
IR-4204	Rushmore Energy, LLC	June 30, 2025
IR-4225	Service King International Brokers LLC	June 30, 2025
IR-613	Spark Energy Gas LLC	June 30, 2025
IR-979	Spark Energy, LLC	June 30, 2025
IR-339	Sprague Operating Resources, LLC	June 30, 2025
IR-2742	Stream Energy Maryland LLC d/b/a Stream	June 30, 2025
IR-1396	Summit Energy Services	June 30, 2025
IR-4150	SunSea Energy LLC	June 30, 2025

IR-4151	SunSea Energy LLC	June 30, 2025
IR-3759	Susquehanna Energy Advisors, Inc d/b/a A1 Energy	June 30, 2025
IR-3760	Susquehanna Energy Advisors, Inc d/b/a A1 Energy	June 30, 2025
IR-230	Talen Energy Marketing LLC	June 30, 2025
IR-1802	Technology Resources Solutions, Inc.	June 30, 2025
IR-3745	Tenaska Power Management, LLC	June 30, 2025
IR-918	TFS Energy Solutions, LLC	June 30, 2025
IR-982	TFS Energy Solutions, LLC d/b/a Tradition Energy	June 30, 2025
IR-2069	The Energy Link, LLC	June 30, 2025
IR-2068	The Energy Link, LLC	June 30, 2025
IR-351	Tiger Natural Gas	June 30, 2025
IR-3079	Tomorrow Energy Corporation fka Sperian Energy Corporation	June 30, 2025
IR-3151	Tomorrow Energy Corporation fka Sperian Energy Corporation	June 30, 2025
IR-3632	Total Energy Resources LLC	June 30, 2025
IR-4319	TransparencE Energy Services LLC	June 30, 2025
IR-3127	TriEagle Energy LP	June 30, 2025
IR-1744	U S Gas & Electric LLC d/b/a Maryland Gas & Electric	June 30, 2025
IR-1952	U.S. Sun Energy, Inc.	June 30, 2025
IR-237	UGI Energy Services, Inc.	June 30, 2025
IR-319	UGI Energy Services, Inc.	June 30, 2025
IR-1751	Unified Energy Services, LLC	June 30, 2025
IR-4303	United Energy Trading LLC	June 30, 2025

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IR-2928	US Grid Energy LLC	June 30, 2025
IR-2929	US Grid Energy LLC	June 30, 2025
IR-2911	US Power Trade LLC	June 30, 2025
IR-4331	Utility Management Services, Inc.	June 30, 2025
IR-2792	Verdigris Energy LLC	June 30, 2025
IR-3753	Vervantis, Inc.	June 30, 2025
IR-2837	Viridian Energy PA, LLC	June 30, 2025
IR-1840	Viridian Energy PA, LLC	June 30, 2025
IR-227	WGL Energy Services, Inc.	June 30, 2025
IR-324	WGL Energy Services, Inc.	June 30, 2025
IR-3192	Winstar Solutions LLC	June 30, 2025

# Attachment B

# **Application for License Renewal**

You must use the attached form to submit your Application. (Please remove this instruction sheet prior to filing.) If you need more space than is provided on this form or if you are attaching exhibits, all attachments must be labeled or tabbed to identify the Application item to which they correspond.

#### You are required to file via the Commission's e-file found here:

https://webpsc.psc.state.md.us/DMS/E-file

The Commission allows the filing of proprietary or confidential documents through its E-file system. An additional area in the upload section of the E-file portal has been developed to accept such confidential documents electronically. If a filing is comprised of both public and proprietary documents, all files should be uploaded concurrently – resulting in a single mail log number. Confidential documents uploaded into the confidential section of the upload portal will not be viewable from the Commission's website. Finally, the system will also allow an entity to file a confidential filing if there is no companion public version of the document.

Mail a nonrefundable license fee of \$1,000.00 (payable to "Public Service Commission") to:

Executive Secretary Maryland Public Service Commission 6 Saint Paul St. Baltimore, MD 21202

Questions pertaining to completion of this Application may be directed to the Public Service Commission Staff, Energy Analysis and Planning Division, at the above address or you may call the Division at (410) 767-8085.

# BEFORE THE MARYLAND PUBLIC SERVICE COMMISSION

#### BEFORE THE MARYLAND PUBLIC SERVICE COMMISSION

1. Identity of the Applicant: (Must match the entity listed on the certificate issued by the State Department of Assessments and Taxation of Maryland)

Legal Name:	
License Number:	
Current Address:	
Telephone:	
E-Mail:	

- 2. Provide a Maryland office location and contact information, if applicable.
- 3. Provide a brief description of the company's purpose and functions.
- 4. Attach a statement specifying how this licensee is in compliance with all of Maryland's supplier requirements, including:
- Maryland's current telephone solicitation act.
- Maryland's current POR prohibition.
- Maryland's current price requirements.
- Maryland's current contract duration requirements.
- Maryland's Renewable Portfolio Standard.

If the licensee is not in compliance with any of the above, please use the space below to explain why.

5. Does the licensee have any outstanding fines issued by the Public Service Commission? If yes, please explain.

6. Does the licensee have any outstanding alternative compliance payments? If yes, please explain.

7. Does the licensee have any outstanding complaints from the Public Service Commission's Consumer Affairs Division? If yes, please explain.

8. Does the licensee possess a current Certificate of Good Standing with the State Comptroller? If no, please explain.

9. Please initial below to certify that the licensee will remain compliant with the items listed in Number 4.

Applicant:

By: \_\_\_\_\_\_ Print Name Here (sign line above)

Title:

Date:

# Application for License to Operate as an Energy Salesperson in the State of Maryland

You must use the attached form to submit your Application. (Please remove this instruction sheet prior to filing.) If you need more space than is provided on this form or if you are attaching exhibits, all attachments must be labeled or tabbed to identify the Application item to which they correspond.

#### You are required to file via the Commission's e-file found here:

https://webpsc.psc.state.md.us/DMS/E-file

The Commission allows the filing of proprietary or confidential documents through its E-file system. An additional area in the upload section of the E-file portal has been developed to accept such confidential documents electronically. If a filing is comprised of both public and proprietary documents, all files should be uploaded concurrently – resulting in a single mail log number. Confidential documents uploaded into the confidential section of the upload portal will not be viewable from the Commission's website. Finally, the system will also allow an entity to file a confidential filing if there is no companion public version of the document.

Mail a nonrefundable license fee of \$1,000.00 (payable to "Public Service Commission") and any applicable Surety Bond to:

Executive Secretary Maryland Public Service Commission 6 Saint Paul St. Baltimore, MD 21202

Questions pertaining to completion of this Application may be directed to the Public Service Commission Staff, Energy Analysis and Planning Division, at the above address or you may call the Division at (410) 767-8085.

If your answer to any of the Application items changes during the pendency of your Application or while you are operating within the State of Maryland, you are under a duty to inform the Commission. An Applicant is also required to officially notify the Commission 60 days prior to ceasing operations in Maryland.

Applicable law: The provisions set forth in this Application related to the licensing of electricity suppliers and the provision of electricity supply and electricity supply services are addressed in detail in the Maryland Annotated Code, Public Utilities Article, § 7-507 et seq., and in the Code of Maryland Regulations, Title 20, Subtitle 51 et seq.

#### **BEFORE THE MARYLAND PUBLIC SERVICE COMMISSION**

# 1. Identity of the Applicant:

Legal Name:			
Current Address:			
Street Address (if di	fferent than above):		
× ×	,		
Telephone:			
E-Mail:			

## 2. Type of service the Applicant intends to serve:

- □ Electricity or Electricity Supply Services
- $\Box$  Gas or Gas Supplier Services

## 3. States in which the Applicant is now or has been engaged in energy sales.

State:	Type of License:	 License No:	
State:	Type of License:	 License No:	
State:	Type of License:	 License No:	
State:	Type of License:	 License No:	
State:	Type of License:	 License No:	
State:	Type of License:	 License No:	
State:	Type of License:	 License No:	

- 4. Actions Against Licenses: (Provide the following information for the Applicant.)
  - Actions such as Suspensions/Revocations, Limitations, Reprimands, Fines, Consent Decrees or other similar actions have been taken or are pending against the Applicant. If checked, provide an attachment describing the action; and include docket numbers, offense dates, and case numbers, if applicable.
  - $\Box$  No such action has been taken

#### 5. Financial Requirements

An applicant for an energy salesperson license must provide the name and license number of the licensed retail supplier that the energy salesperson is associated with. Additionally, the applicant for an energy salesperson license must provide a suitable bond (or proof of a bond held by the applicant's associated retail supplier) in the amount of \$10,000 covering the applicant for any illegal activities taken by or negligent harms caused by an energy salesperson in connection with their employment or association with that licensed supplier. In the event that the licensed supplier is exempted from the bond requirement, the supplier must provide a letter explaining that the supplier assumes responsibility for the energy salesperson's conduct that contradicts Maryland law.

- 6. Technical and Managerial Competency: The Applicant must submit, in an attachment, a statement of technical competency. The attachment should also include a description of the Applicant and current operations, intended operations in Maryland, past work experience, business plans, and other items or information that demonstrate technical and managerial competency.
- 7. Notice of Required Compliance: The Applicant is hereby notified that it is required to comply with the following:
  - a. The Applicant may be required to submit bonds, as applicable, in order to ensure financial integrity or the payment of taxes.
  - b. The Applicant must update this Application with the Commission within 30 days of a change to 1, 2, 3, or 4.
  - c. Except as set forth in paragraph (b) above, provide annual updates of all items that have changed in the Application. The annual update should be provided to the Commission within 120 days of the end of the supplier's fiscal year.
  - d. Supplement this Application in the event the Commission modifies the licensing requirements, or requests further information.
  - e. Agree that it will not present itself as an energy salesperson in Maryland, without a license from the Commission.

- f. Pay all fees imposed by the Commission and any State and local taxes.
- g. Acknowledge that the license to sell electricity and electricity supply services is not transferable without prior Commission approval.
- 8. Affidavits Required: The Applicant must supply Affidavit of General Compliance to the Commission with the completed Application. The affidavit is included with this Application packet and must be executed by the Applicant (or representative with authority to bind the Applicant) in compliance with Maryland law. The Affidavit must contain the following statements on behalf of the Applicant:
  - a. Agreement to comply with all terms and conditions of applicable electricity company tariffs and agreements with electricity companies.
  - b. Agreement to comply with all applicable federal and State consumer protection and environmental laws and regulations, and Maryland Public Service Commission regulations and requirements.
  - c. Agreement to comply with all applicable State and local tax and surcharge requirements.
  - d. Statement that the Applicant has obtained all the licenses and permits required to operate the proposed business in the State of Maryland.
  - e. Agreement of Non-Disclosure and No Reselling of customer data provided to the Applicant by any Maryland electricity distribution company.
  - f. Statement that the Applicant:
    - 1. Has had no civil, criminal or regulatory sanctions or penalties imposed against it within the previous ten years pursuant to any State or Federal consumer protection law or regulation; and has not ever been convicted of a felony; or, alternatively
    - 2. Has disclosed by attachment all such sanctions, penalties or convictions.
  - g. Statement that the Applicant:
    - 1. Is not under involuntary bankruptcy/insolvency proceedings including but not limited to, the appointment of a receiver, liquidator, or trustee of the supplier, or a decree by such court adjudging the supplier bankrupt or insolvent or sequestering any substantial part of its property or a petition to declare bankruptcy as to reorganize the supplier.
    - 2. Has not filed a voluntary petition in bankruptcy under any provision of any Federal or State bankruptcy/insolvency law, or its consent to the filing of any bankruptcy or reorganization petition against it under any similar law; or without limiting the generality of the foregoing, a supplier admits in writing its inability to pay its debts generally as they become due or consents to the appointment of a receiver, trustee or liquidator of it or of all or any part of its property.

h. Statement that the information, statements and documents submitted in connection with the Application are true and correct.

**9. Further Developments:** Applicant is under a continuing obligation to amend its Application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

Pursuant to the Code of Maryland Regulations ("COMAR") Title 20, Subtitle 51, Chapter 2, Section .03 – An Applicant shall immediately inform the Commission of any material change in the information provided in the Application during the Application review process.

Pursuant to COMAR Title 20, Subtitle 51, Chapter 3, Section .01 - within 30 days of a material change, a licensed supplier must update or supplement the information required in the Application under COMAR 20.51.02.02B(1), (4) and (5).

- **10. Proof of Age.** Provide proof that the applicant is at least 18 years of age.
- 11. Attach the receipt from fingerprinting. (Note: fingerprinting is to be completed {using Livescan Fingerprint Form provided by Transportation Division) at the Criminal Justice Information System (CJIS) located at 6776 Reisterstown Road in Baltimore. The cost for the FBI and State background check is \$51.25, cash and money orders are not accepted).

**NOTE:** If you believe information contained on your FBI criminal background report is incorrect and you wish to correct the record as it appears in the FBI's CJIS Division Record System, you must follow procedures set forth in Title 28, CFR, Section 16.30 through 16.34.

#### **12.** Criminal History:

Have you ever been convicted of ANY criminal violations of law, in any state?

Yes No \_\_\_\_

Have you ever been convicted of ANY alcohol or drug related traffic violations of law, in any state?

Yes \_\_\_\_ No \_\_\_\_

If you answered "yes" to any of the above questions, list the date(s), place(s), charge(s), and sentence/penalty for each case/conviction (use attachment if additional space is needed).

**Note:** For purposes of the above questions, "convicted" means that you were charged with an offense and as a result a civil or criminal penalty was imposed, including (but not limited to): jail sentence (even if suspended), time served, house arrest, probation, probation before judgment, fine, restitution, community service, mandated substance abuse treatment program, etc.

Appli	cant:
By:	<u>Print Name Here (sign line above)</u>
Title:	
Date:	

#### AFFIDAVIT OF GENERAL COMPLIANCE

State of	:	
	:	SS.
County of	:	

\_\_\_\_\_, Affiant, being duly [sworn/affirmed] according to law, deposes

and says:

that he/she is authorized to and does make this affidavit for said Applicant;

that the Applicant herein certifies to the Commission:

that the Applicant agrees to comply with the terms and conditions of all applicable electricity company tariffs and agreements with electricity companies;

that the Applicant agrees to comply with all applicable requirements of the Federal Energy Regulatory Commission;

that the Applicant agrees to comply with all applicable Federal and state consumer protection and environmental laws and regulations, and Maryland PSC regulations, fees, assessment, and requirements;

that the Applicant has obtained all the licenses and permits required to operate the proposed business in the State of Maryland;

that the Applicant agrees that it shall neither disclose nor resell individual residential customer data provided to the Applicant by any Maryland electricity company. Disclosure or resale of individual non-residential customer data provided to the Applicant by a Maryland electricity company will be governed by customer contract; and

that the Applicant, including any of its affiliates, the general partners, corporate officers or directors, or limited liability company members, managers or officers of the Applicant or its affiliates:

1. Has had no civil, criminal or regulatory sanctions or penalties imposed against it within the previous ten years pursuant to any State or Federal consumer protection law or regulation; and has not ever been convicted of a felony; or, alternatively; 2. Has disclosed by attachment all such sanctions, penalties or convictions.

The Applicant further certifies that it:

- 1. Is not under involuntary bankruptcy/insolvency proceedings including but not limited to, the appointment of a receiver, liquidator, or trustee of the supplier, or a decree by such court adjudging the supplier bankrupt or insolvent or sequestering any substantial part of its property or a petition to declare bankruptcy as to reorganize the supplier; and
- 2. Has not filed a voluntary petition in bankruptcy under any provision of any Federal or State bankruptcy/insolvency law, or its consent to the filing of any bankruptcy or reorganization petition against it under any similar law; or without limiting the generality of the foregoing, a supplier admitted in writing its inability to pay its debts generally as they became due, or consents to the appointment of a receiver, trustee or liquidator of it or of all or any part of its property.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant

Sworn and subscribed before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_.

Signature of official administering oath

My Commission expires \_\_\_\_\_

#### VERIFICATION

State of	:	
	:	SS.
County of	_ :	

\_\_\_\_\_, Affiant, being duly [sworn/affirmed] according to law, deposes and

says:

that the Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to all applicable sections of the Annotated Code of Maryland as may be amended from time to time relating to perjury and falsification in official matters;

that the Applicant will supplement this Application in the event the Commission modifies the licensing requirements, or requests further information;

that the Applicant agrees that it will not present itself as a licensed energy salesperson supplier of electricity in Maryland, without a license from the Commission;

that the Applicant agrees that a license issued pursuant to this Application may not be transferred without Commission approval;

that the Applicant agrees to update information contained in this Application in accordance with the schedule otherwise set forth in the Application; and

that the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant

Sworn and subscribed before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_.

Signature of official administering oath

My Commission expires \_\_\_\_\_

# Application for License to Operate as an Energy Vendor in the State of Maryland

You must use the attached form to submit your Application. (Please remove this instruction sheet prior to filing.) If you need more space than is provided on this form or if you are attaching exhibits, all attachments must be labeled or tabbed to identify the Application item to which they correspond.

#### You are required to file via the Commission's e-file found here:

#### https://webpsc.psc.state.md.us/DMS/E-file

The Commission allows the filing of proprietary or confidential documents through its E-file system. An additional area in the upload section of the E-file portal has been developed to accept such confidential documents electronically. If a filing is comprised of both public and proprietary documents, all files should be uploaded concurrently – resulting in a single mail log number. Confidential documents uploaded into the confidential section of the upload portal will not be viewable from the Commission's website. Finally, the system will also allow an entity to file a confidential filing if there is no companion public version of the document.

Mail a nonrefundable license fee of \$1,000.00 (payable to "Public Service Commission") and any applicable Surety Bond to:

Executive Secretary Maryland Public Service Commission 6 Saint Paul St. Baltimore, MD 21202

Questions pertaining to completion of this Application may be directed to the Public Service Commission Staff, Energy Analysis and Planning Division, at the above address or you may call the Division at (410) 767-8085.

If your answer to any of the Application items changes during the pendency of your Application or while you are operating within the State of Maryland, you are under a duty to inform the Commission. An Applicant is also required to officially notify the Commission 60 days prior to ceasing operations in Maryland.

Applicable law: The provisions set forth in this Application related to the licensing of electricity suppliers and the provision of electricity supply and electricity supply services are addressed in detail in the Maryland Annotated Code, Public Utilities Article, § 7-507 et seq., and in the Code of Maryland Regulations, Title 20, Subtitle 51 et seq.

#### **BEFORE THE MARYLAND PUBLIC SERVICE COMMISSION**

#### **1.** Identity of the Applicant:

(Must match the entity listed on the certificate issued by the State Department of Assessments and Taxation of Maryland)

Legal Name:

Street Address (if different than above):

Telephone
E-Mail:

#### 2. Type of service the Applicant intends to serve:

- □ Electricity or Electricity Supply Services
- □ Gas or Gas Supplier Services

#### 3. Affiliates Engaged in the Retail Sale of Electricity or Natural Gas:

- $\Box$  The Applicant has no such Affiliate(s).
- □ Applicant is an Affiliate of a regulated electricity or natural gas utility. Describe in attachment.
- ☐ Affiliate(s) other than a regulated electricity or natural gas utility engaged in the retail sale of electricity, or electricity supply services, or natural gas are:

Name:	
<b>Business Address:</b>	

# 4. States in which the Applicant is now or has been engaged in energy sales.

State:	Type of License:	 License No:
State:	Type of License:	 License No:
State:	Type of License:	 License No:
State:	Type of License:	 License No:
State:	Type of License:	 License No:
State:	Type of License:	 License No:
State:	Type of License:	 License No:

## 5. Regulatory Contact Person:

Name and Title: Address:	 		
Telephone: E-Mail:			
E-Mail:	 		

# 6. Primary Corporate Officers/General Partners/Managing Partners

## President/General Partner/Managing Partner:

Name: Business Address:			
CEO:			
Name: Business Address:			

## 7. Applicant's Business Form:

- □ Proprietorship
- $\Box$  Corporation
- □ Partnership
- □ Limited Partnership
- □ Limited Liability Company
- □ Limited Liability Partnership
- □ Other
- 8. Ownership and Control: Provide on a separate sheet the names and addresses of all persons and entities that directly or indirectly own ten percent (10%) or more of the ownership interests in the Applicant, have the right to vote ten percent (10%) or more of the Applicant's voting securities, or who otherwise have the power to control the Applicant.
- 9. Actions Against Licenses: (Provide the following information for the Applicant)
  - Actions such as Suspensions/Revocations, Limitations, Reprimands, Fines, Consent Decrees or other similar actions have been taken or are pending against the Applicant. If checked, provide an attachment describing the action; and include docket numbers, offense dates, and case numbers, if applicable.
  - $\Box$  No such action has been taken.

#### 10. Financial Requirements

**Bond:** A \$5,000 bond is required for all Applicants. The bond must be in the format described in the broker/aggregator bond template in Attachment A.

11. Technical and Managerial Competency: The Applicant must submit, in an attachment, a statement of technical and managerial competency. This <u>must</u> include owner and key employee resumes. The attachment should also include a description of the Applicant and current operations, intended operations in Maryland, past work experience, business plans, and other items or information that demonstrate technical and managerial competency.

- **12.** Notice of Required Compliance: The Applicant is hereby notified that it is required to comply with the following:
  - a. The Applicant may be required to submit bonds, as applicable, in order to ensure financial integrity or the payment of taxes.
  - b. The Applicant must update this Application with the Commission within 30 days of a change to 1 through 9.
  - c. Except as set forth in paragraph (b) above, provide annual updates of all items that have changed in the Application. The annual update should be provided to the Commission within 120 days of the end of the supplier's fiscal year.
  - d. Supplement this Application in the event the Commission modifies the licensing requirements, or requests further information.
  - e. Agree that it will not present itself as an energy vendor in Maryland, without a license from the Commission.
  - f. Pay all fees imposed by the Commission and any State and local taxes.
  - g. Acknowledge that the license to sell electricity and electricity supply services is not transferable without prior Commission approval.
- 13. Affidavits Required: The Applicant must supply Affidavit of General Compliance to the Commission with the completed Application. The affidavit is included with this Application packet and must be executed by the Applicant (or representative with authority to bind the Applicant) in compliance with Maryland law. The Affidavit must contain the following statements on behalf of the Applicant:
  - a. Agreement to comply with all terms and conditions of applicable electricity company tariffs and agreements with electricity companies.
  - b. Agreement to comply with all applicable federal and State consumer protection and environmental laws and regulations, and Maryland Public Service Commission regulations and requirements.
  - c. Agreement to comply with all applicable State and local tax and surcharge requirements.
  - d. Statement that the Applicant has obtained all the licenses and permits required to operate the proposed business in the State of Maryland.
  - e. Agreement of Non-Disclosure and No Reselling of customer data provided to the Applicant by any Maryland electricity distribution company.
  - f. Statement that the Applicant:
    - 1. Has had no civil, criminal or regulatory sanctions or penalties imposed against it within the previous ten years pursuant to any State or Federal consumer protection law or regulation; and has not ever been convicted of a felony; or, alternatively
    - 2. Has disclosed by attachment all such sanctions, penalties or convictions.
  - g. Statement that the Applicant:

- 1. Is not under involuntary bankruptcy/insolvency proceedings including but not limited to, the appointment of a receiver, liquidator, or trustee of the supplier, or a decree by such court adjudging the supplier bankrupt or insolvent or sequestering any substantial part of its property or a petition to declare bankruptcy as to reorganize the supplier; and
- 2. Has not filed a voluntary petition in bankruptcy under any provision of any Federal or State bankruptcy/insolvency law, or its consent to the filing of any bankruptcy or reorganization petition against it under any similar law; or without limiting the generality of the foregoing, a supplier admits in writing its inability to pay its debts generally as they become due or consents to the appointment of a receiver, trustee or liquidator of it or of all or any part of its property.

h. Statement that the information, statements and documents submitted in connection with the Application are true and correct.

14. Further Developments: Applicant is under a continuing obligation to amend its Application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

Pursuant to the Code of Maryland Regulations ("COMAR") – An Applicant shall immediately inform the Commission of any material change in the information provided in the Application during the Application review process.

Pursuant to COMAR – within 30 days of a material change, a licensed supplier must update or supplement the information required in the Application.

15. Application Fee: The Applicant must enclose the required licensing fee of \$5,000.

Applic	cant:
By:	
	<u>Print Name Here (sign line above)</u>
Title:	
Date:	<u> </u>

#### AFFIDAVIT OF GENERAL COMPLIANCE

State of	:	
	:	SS.
County of	:	

\_\_\_\_\_, Affiant, being duly [sworn/affirmed] according to law, deposes and says:

that he/she is authorized to and does make this affidavit for said Applicant;

that the Applicant herein certifies to the Commission:

that the Applicant agrees to comply with the terms and conditions of all applicable electricity company tariffs and agreements with electricity companies;

that the Applicant agrees to comply with all applicable requirements of the Federal Energy Regulatory Commission;

that the Applicant agrees to comply with all applicable Federal and state consumer protection and environmental laws and regulations, and Maryland PSC regulations, fees, assessment, and requirements;

that the Applicant has obtained all the licenses and permits required to operate the proposed business in the State of Maryland;

that the Applicant agrees that it shall neither disclose nor resell individual residential customer data provided to the Applicant by any Maryland electricity company. Disclosure or resale of individual non-residential customer data provided to the Applicant by a Maryland electricity company will be governed by customer contract;

that the Applicant, including any of its affiliates, the general partners, corporate officers or directors, or limited liability company members, managers or officers of the Applicant or its affiliates:

> 1. Has had no civil, criminal or regulatory sanctions or penalties imposed against it within the previous ten years pursuant to any State or Federal consumer protection law or regulation; and has not ever been convicted of a felony; or, alternatively;

2. Has disclosed by attachment all such sanctions, penalties or convictions.

The Applicant further certifies that it:

- 1. Is not under involuntary bankruptcy/insolvency proceedings including but not limited to, the appointment of a receiver, liquidator, or trustee of the supplier, or a decree by such court adjudging the supplier bankrupt or insolvent or sequestering any substantial part of its property or a petition to declare bankruptcy as to reorganize the supplier;
- 2. Has not filed a voluntary petition in bankruptcy under any provision of any Federal or State bankruptcy/insolvency law, or its consent to the filing of any bankruptcy or reorganization petition against it under any similar law; or without limiting the generality of the foregoing, a supplier admitted in writing its inability to pay its debts generally as they became due, or consents to the appointment of a receiver, trustee or liquidator of it or of all or any part of its property; and

that the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant

Sworn and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_\_, \_\_\_.

Signature of official administering oath

My Commission expires \_\_\_\_\_

#### VERIFICATION

State of	:	
	:	SS.
County of	_ :	

\_, Affiant, being duly [sworn/affirmed] according to law, deposes

and says:

that he/she is authorized to and does make this affidavit for said company;

that the Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to all applicable sections of the Annotated Code of Maryland as may be amended from time to time relating to perjury and falsification in official matters;

that the Applicant will supplement this Application in the event the Commission modifies the licensing requirements, or requests further information;

that the Applicant agrees that it will not present itself as a licensed energy vendor in Maryland, without a license from the Commission;

that the Applicant agrees that a license issued pursuant to this Application may not be transferred without Commission approval;

that the Applicant agrees to update information contained in this Application in accordance with the schedule otherwise set forth in the Application; and

that the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant

Sworn and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_\_, \_.

Signature of official administering oath

My Commission expires \_\_\_\_\_

#### APPLICANT'S GENERAL AUTHORIZATION FOR VERIFICATION OF FINANCIAL INFORMATION, ETC.

### TO WHOM IT MAY CONCERN:

I/We have applied to the Maryland Public Service Commission ("the Commission") for a license to be a Electricity Supplier, or to provide certain Electricity Supply related services, and authorize you to release to the Commission and its Staff, authorized representatives and agents any information or copies of records requested concerning:

MY/OUR COMPANY OR BUSINESS AND ITS HISTORY, PERFORMANCE, OPERATIONS, CUSTOMER RELATIONS, FINANCIAL CONDITION, INCLUDING BANK ACCOUNT TRANSACTIONS AND BALANCES, PAYMENT HISTORY WITH SUPPLIERS AND OTHER CREDITORS, VERIFICATION OF NET WORTH AND OTHER INFORMATION AND RECORDS WHICH THE COMMISSION OR ITS STAFF REQUIRES TO VERIFY OR MAKE INQUIRY CONCERNING MY/OUR FINANCIAL INTEGRITY AND THE INFORMATION CONTAINED IN MY/OUR LICENSE APPLICATION OR OTHER INFORMATION PROVIDED BY ME/US TO THE COMMISSION OR, STAFF OF THE COMMISSION, OR ITS REPRESENTATIVES OR AGENTS.

This Authorization is continuing in nature and includes release of information following issuance of a license, for re-verification, quality assurance, internal review, etc. The information is for the confidential use of the Commission and the Staff of the Commission in determining my/our financial integrity for being a licensee or to confirm information I/We have supplied and may not be released except by order of the Commission or by order of a court of competent jurisdiction.

A photographic or fax copy of this authorization may be deemed to be the equivalent of the original and may be used as a duplicate original. The original signed form is maintained by the Staff of the Commission.

APPLICANT'S AUTHORIZATION TO RELEASE INFORMATION:

APPLICANT (Please print)

<u>By:</u> APPLICANT'S SIGNATURE

DATE

TITLE

--- BOND TEMPLATE---

## LICENSE OR PERMIT BOND

(Insurer Name)

Bond No.

## KNOWN ALL MEN BY THESE PRESENTS:

That I, <u>Name</u>, as Principal and <u>Insurance Co.</u>, a company authorized to transact surety business in the State of <u>Maryland</u>, as Surety, are held and firmly bound unto <u>Maryland Public Service</u> <u>Commission</u>, as Obligee, in the penal sum of <u>Five Thousand</u> (\$5,000.00) DOLLARS, lawful money of the United States of America, for payment of which, well and truly be made, we hereby bind ourselves, our heirs, executors, administrators, legal representatives and successors, jointly and severally, firmly by these presents.

WHEREAS, the Principal has obtained or is about to obtain, from the Obligee, a license to do business as an **Energy Vendor** in Maryland under the Maryland Annotated Code, Public Utilities Article, § 7-507 et seq. and Code of Maryland Regulations, Title 20.

NOW THEREFORE, THE CONDITIONS OF THIS OBLIGATION ARE SUCH, that if the said Principal shall comply with the provisions of the said Code, licenses, all applicable Ordinances, Rules and Regulations, and any Amendments thereto, then this obligation shall not be payable, but shall otherwise remain in full force and effect, subject to the payment as set forth below.

This obligation shall become effective on the \_\_\_\_\_day of \_\_\_\_\_, and shall remain in force until cancelled.

PAYMENT under this bond shall be due if the Commission determines that <u>Name</u> is financially insolvent or unable to meet its obligations as a licensed <u>Energy Vendor</u> in Maryland. <u>Insurance</u> <u>Co.</u> will permit the Commission to direct that the proceeds of this bond be paid or disbursed to satisfy the electricity supplier's financial obligations to the Commission or other Maryland governmental entity. <u>Insurance Co.</u> will permit a Maryland court to direct proceeds of the bond be paid to a person that has obtained a judgment against a licensed supplier and has previously attempted to collect the judgment through all other means available through the Court.

PROVIDED, that the Surety may terminate its liability hereunder as to future acts of the Principal at any time by giving sixty (60) days written notice of such termination to the Obligee.

SIGNED AND SEALED this \_\_\_\_\_ day of \_\_\_\_\_\_, \_\_\_\_.

(Energy Vendor Name )

BY: (Principal Signature )

Principal Name, Title

(Insurer/Bond Company )

BY: (Signature of Insurer )

Attorney-in-fact